

**IN THE UNITED STATES PATENT AND TRADEMARK OFFICE**

In re Patent No. : 7,026,895  
Issue Date : April 11, 2006  
Application No. : 10/752,576  
For : GFCI RECEPTACLE HAVING PLUG BLOCKING MEANS

ATTN: Certificate of Correction Branch.  
Commissioner for Patents  
P.O. Box 1450  
Alexandria, VA 22313-1450

**REQUEST FOR CERTIFICATE OF CORRECTION OF PATENT  
UNDER 37 C.F.R. §1.323**

Sir:

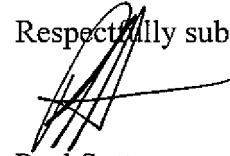
We reviewed the issued patent of the above-identified application, we note that the patent issued without including the name of the third inventor, "Edward Bazayev". Please add the name of "Edward Bazayev" as third inventor of the above identified application. The Certificate of Correction (Form PTO/SB/44) is attached indicating the change. For your reference, attached is a copy of the Amendment Under 37CFR 1.48(A) filed with the United States Patent and Trademark Office on July 6, 2006 and a copy of the Corrected Filing Receipt mailed by the Patent and Trademark Office on January 9, 2006.

The mistake is minor in character and does not constitute new matter or require reexamination. Correction under 37 C.F.R. § 1.323 is respectfully requested.

The Commissioner is hereby authorized to charge the fee of \$100.00 under 37 C.F.R. § 1.20(a) to Deposit Account No. 12-1185 of Leviton Manufacturing Co., Inc. Any deficiency in or overpayment of fees in connection with this Request should likewise be charged or credited to Deposit Account No. 12-1185 of Leviton Manufacturing Co., Inc.

A Certificate of Correction for the above-identified patent has been prepared and is attached hereto. Approval of the Certificate of Correction is respectfully requested.

Respectfully submitted,

A handwritten signature in black ink, appearing to read 'Paul Sutton', with a long horizontal flourish extending to the right.

Paul Sutton  
Reg. No. 24,201

Greenberg Traurig, LLP  
MetLife Building  
200 Park Avenue  
New York, NY 10166

Date: May 22, 2006

## UNITED STATES PATENT AND TRADEMARK OFFICE CERTIFICATE OF CORRECTION

PATENT NO. : 7,026,895  
DATED : April 11, 2006  
INVENTOR(S) : Frantz Germain; Stephen Stewart

It is certified that error appears in the above-identified patent and that said Letters Patent  
is hereby corrected as shown below:

(75) Inventors: add the name of the third inventor "Edward Bazayev"

**MAILING ADDRESS OF SENDER:**

Paul J. Sutton Greenberg Traurig, LLP  
885 Third Avenue, New York 10022

PATENT NO. 6,695,639

No. of additional copies

⇒ 0

This collection of information is required by 37 CFR 1.322, 1.323, and 1.324. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 1.0 hour to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. **SEND TO: Attention Certificate of Corrections Branch, Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.**

*If you need assistance in completing the form, call 1-800-PTO-9199 and select option 2.*



UNITED STATES PATENT AND TRADEMARK OFFICE

UNITED STATES DEPARTMENT OF COMMERCE  
United States Patent and Trademark Office  
Address: COMMISSIONER FOR PATENTS  
P.O. Box 1450  
Alexandria, Virginia 22313-1450  
www.uspto.gov

APPL NO.	FILING OR 371 (c) DATE	ART UNIT	FIL FEE REC'D	ATTY. DOCKET NO	DRAWINGS	TOT CLMS	IND CLMS
10/752,576	01/08/2004	2832	1158	0267-001-2031	7	20	6

CONFIRMATION NO. 5956

31108  
PAUL J. SUTTON, ESQ., BARRY G. MAGIDOFF, ESQ.  
GREENBERG TRAURIG, LLP  
200 PARK AVENUE  
NEW YORK, NY 10166

CORRECTED FILING RECEIPT



\*OC000000017808155\*

Date Mailed: 01/09/2006

Receipt is acknowledged of this regular Patent Application. It will be considered in its order and you will be notified as to the results of the examination. Be sure to provide the U.S. APPLICATION NUMBER, FILING DATE, NAME OF APPLICANT, and TITLE OF INVENTION when inquiring about this application. Fees transmitted by check or draft are subject to collection. Please verify the accuracy of the data presented on this receipt. **If an error is noted on this Filing Receipt, please mail to the Commissioner for Patents P.O. Box 1450 Alexandria Va 22313-1450. Please provide a copy of this Filing Receipt with the changes noted thereon. If you received a "Notice to File Missing Parts" for this application, please submit any corrections to this Filing Receipt with your reply to the Notice. When the USPTO processes the reply to the Notice, the USPTO will generate another Filing Receipt incorporating the requested corrections (if appropriate).**

Applicant(s)

Frantz Germain, Rosedale, NY;  
Stephen Stewart, Berrien Springs, MI;  
Edward Bazayev, Kew Gardens, NY;

Power of Attorney: None

Domestic Priority data as claimed by applicant

This appln claims benefit of 60/441,956 01/23/2003

Foreign Applications

If Required, Foreign Filing License Granted: 04/10/2004

The country code and number of your priority application, to be used for filing abroad under the Paris Convention, is **US10/752,576**

Projected Publication Date: Not Applicable

Non-Publication Request: No

Early Publication Request: No

**Title**

GFCI RECEPTACLE HAVING PLUG BLOCKING MEANS

**Preliminary Class**

335

**PROTECTING YOUR INVENTION OUTSIDE THE UNITED STATES**

Since the rights granted by a U.S. patent extend only throughout the territory of the United States and have no effect in a foreign country, an inventor who wishes patent protection in another country must apply for a patent in a specific country or in regional patent offices. Applicants may wish to consider the filing of an international application under the Patent Cooperation Treaty (PCT). An international (PCT) application generally has the same effect as a regular national patent application in each PCT-member country. The PCT process **simplifies** the filing of patent applications on the same invention in member countries, but **does not result** in a grant of "an international patent" and does not eliminate the need of applicants to file additional documents and fees in countries where patent protection is desired.

Almost every country has its own patent law, and a person desiring a patent in a particular country must make an application for patent in that country in accordance with its particular laws. Since the laws of many countries differ in various respects from the patent law of the United States, applicants are advised to seek guidance from specific foreign countries to ensure that patent rights are not lost prematurely.

Applicants also are advised that in the case of inventions made in the United States, the Director of the USPTO must issue a license before applicants can apply for a patent in a foreign country. The filing of a U.S. patent application serves as a request for a foreign filing license. The application's filing receipt contains further information and guidance as to the status of applicant's license for foreign filing.

Applicants may wish to consult the USPTO booklet, "General Information Concerning Patents" (specifically, the section entitled "Treaties and Foreign Patents") for more information on timeframes and deadlines for filing foreign patent applications. The guide is available either by contacting the USPTO Contact Center at 800-786-9199, or it can be viewed on the USPTO website at <http://www.uspto.gov/web/offices/pac/doc/general/index.html>.

For information on preventing theft of your intellectual property (patents, trademarks and copyrights), you may wish to consult the U.S. Government website, <http://www.stopfakes.gov>. Part of a Department of Commerce initiative, this website includes self-help "toolkits" giving innovators guidance on how to protect intellectual property in specific countries such as China, Korea and Mexico. For questions regarding patent enforcement issues, applicants may call the U.S. Government hotline at 1-866-999-HALT (1-866-999-4158).

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**LICENSE FOR FOREIGN FILING UNDER**

**Title 35, United States Code, Section 184**

**Title 37, Code of Federal Regulations, 5.11 & 5.15**

**GRANTED**

The applicant has been granted a license under 35 U.S.C. 184, if the phrase "IF REQUIRED, FOREIGN FILING LICENSE GRANTED" followed by a date appears on this form. Such licenses are issued in all applications where the conditions for issuance of a license have been met, regardless of whether or not a license may be required as set forth in 37 CFR 5.15. The scope and limitations of this license are set forth in 37 CFR 5.15(a) unless an earlier license has been issued under 37 CFR 5.15(b). The license is subject to revocation upon written notification. The date indicated is the effective date of the license, unless an earlier license of similar scope has been granted under 37 CFR 5.13 or 5.14.

This license is to be retained by the licensee and may be used at any time on or after the effective date thereof unless it is revoked. This license is automatically transferred to any related applications(s) filed under 37 CFR 1.53(d). This license is not retroactive.

The grant of a license does not in any way lessen the responsibility of a licensee for the security of the subject matter as imposed by any Government contract or the provisions of existing laws relating to espionage and the national security or the export of technical data. Licensees should apprise themselves of current regulations especially with respect to certain countries, of other agencies, particularly the Office of Defense Trade Controls, Department of State (with respect to Arms, Munitions and Implements of War (22 CFR 121-128)); the Bureau of Industry and Security, Department of Commerce (15 CFR parts 730-774); the Office of Foreign Assets Control, Department of Treasury (31 CFR Parts 500+) and the Department of Energy.

**NOT GRANTED**

No license under 35 U.S.C. 184 has been granted at this time, if the phrase "IF REQUIRED, FOREIGN FILING LICENSE GRANTED" DOES NOT appear on this form. Applicant may still petition for a license under 37 CFR 5.12, if a license is desired before the expiration of 6 months from the filing date of the application. If 6 months has lapsed from the filing date of this application and the licensee has not received any indication of a secrecy order under 35 U.S.C. 181, the licensee may foreign file the application pursuant to 37 CFR 5.15(b).

**IN THE UNITED STATES PATENT AND TRADEMARK OFFICE**

In re Application of : Frantz Germain et al.  
Serial No. : 10/752,576  
Filed : January 8, 2004  
For : GFCI RECEPTACLE HAVING PLUG  
BLOCKING MEANS  
Examiner : DONOVAN, LINCOLN D.  
Group Art : 2832

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Mail Stop Amendment  
Commissioner of Patents  
P.O. Box 1450  
Alexandria, VA. 22313-1450

**AMENDMENT UNDER 37 CFR 1.48 (A)**

Sir:

This is a request for correction of inventorship in the above referenced nonprovisional patent application pursuant to 35 U.S.C. 116.

## REMARKS

In the above referenced patent application the inventive entity is set forth in error in the executed declaration, and such error arose without any deceptive intention on the part of Edward Bazayev, the person who, through error, was not named as an inventor.

The examiner is requested to correct the inventorship of the above referenced patent application by adding Edward Bazayev as an inventor.

Attached hereto are the following:

1. A statement from Edward Bazayev, the person being added as an inventor, that an error in inventorship occurred without deceptive intent on his part.
2. A Declaration listing the actual inventors as required by 37 CFR 1.63. The Declaration has been signed by first inventor Frantz Germain and third inventor Edward Bazayev. A copy of the Declaration is attached. To date, we have not received the signed Declaration from the second inventor, Stephen Stewart. Upon receipt, we will forward the signed original Declaration to you for processing in the Patent Office.
3. The written consent of the assignee.

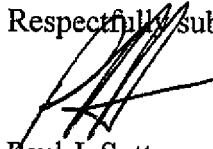
By this amendment, the Examiner is instructed to correct the inventive entity by adding Edward Bazayev as an inventor.

The commissioner is hereby authorized to charge any fees which may be required for the amendment, of credit any overpayment to Deposit Account No. 12-1185 of Leviton Manufacturing Co., Inc.

In the event that an extension of time is required to make this amendment timely filed, the Commissioner is requested to grant a petition for that extension of time which is required to make this amendment timely and is hereby authorized to charge any fee for

such an extension of time or credit an overpayment for an extension of time to Deposit  
Account No. 12-1185 of Leviton Manufacturing Co., Inc.

Respectfully submitted,



Date: July 6, 2005

Paul J. Sutton  
Registration Number 24,201  
Attorney for Applicants  
Tele: (212) 801-2108  
Fax: (212) 801-6400

GREENBERG TRAURIG, LLP  
200 Park Avenue  
New York, NY 10166

**IN THE UNITED STATES PATENT AND TRADEMARK OFFICE**

In re Application of : Frantz Germain et al.  
Serial No. : 10/752,576  
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Examiner : DONOVAN, LINCOLN D.  
Group Art : 2832

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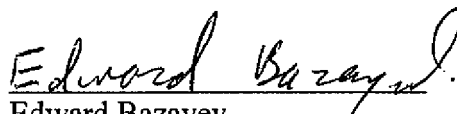
Commissioner of Patents  
P.O. Box 1450  
Alexandria, VA. 22313-1450

**STATEMENT UNDER 37 CFR 1.48 (A) BY EDWARD BAZAYEV**

Sir:

This is a request for correction of inventorship in the above referenced nonprovisional patent application pursuant to 35 U.S.C. 116. In the above referenced patent application, without any deceptive intention on my part, I, Edward Bazayev, a citizen of the United States of America, through inadvertence and error was not named as an inventor.

Respectfully submitted,



Edward Bazayev  
119-20 Union Turnpike  
Kew Gardens, NY 11415

Date: JULY 1, 2005

**IN THE UNITED STATES PATENT AND TRADEMARK OFFICE**

In re Application of : Frantz Germain et al.  
Serial No. : 10/752,576  
Filed : January 8, 2004  
For : GFCI RECEPTACLE HAVING PLUG  
BLOCKING MEANS  
Examiner : DONOVAN, LINCOLN D.  
Group Art : 2832

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Commissioner of Patents  
P.O. Box 1450  
Alexandria, VA. 22313-1450

**ASSIGNEE STATEMENT UNDER 37 CFR 1.48 (A)**

Sir:

This is a request for correction of inventorship in the above referenced nonprovisional patent application, pursuant to 35 U.S.C. 116, Leviton Manufacturing Co., Inc., through its authorized representative, hereby authorizes and consents to adding Edward Bazayev as an inventor in the above referenced patent application.

Respectfully submitted,



Stephen Sokolow  
for Leviton Manufacturing Co., Inc.  
59-25 Little Neck Parkway  
Little Neck, New York 11362

Date: 7-1, 2005

**COMBINED DECLARATION FOR PATENT APPLICATION AND POWER OF ATTORNEY**  
U.S. DEPARTMENT OF COMMERCE  
Patent and Trademark Office

ATTORNEY DOCKET NO. 0267-001-2031

As a below named inventor, I hereby declare that:

My residence, post office address and citizenship are as stated below next to my name,

I believe I am the original, first and sole inventor (if only one name is listed below) or an original, first and joint inventor (if plural names are listed below) of the subject matter which is claimed and for which a patent is sought on the invention entitled:

GFCI RECEPTACLE HAVING PLUG BLOCKING MEANS

The specification of which:

☐ is attached hereto; or

☒ was filed as United States application Serial No. 10/752,576 on January 8, 2004 and was amended on  
(if applicable); or

☐ was filed as PCT international application Number on and was amended under  
PCT Article 19 On (if applicable).

I hereby state that I have reviewed and understand the contents of the above-identified specification, including the claims, as amended by any amendment referred to above.

I acknowledge the duty to disclose to the U.S. Patent and Trademark Office information which is material to the patentability of claims presented in this application in accordance with Title 37, Code of Federal Regulations Section 1.56.

I hereby claim foreign priority benefits under Title 35, United States Code, Section 119(a)-(d) or Section 365(b) of any foreign application(s) for patent or inventor's certificate or Section 365(a) of any PCT international application(s) designating at least one country other than the United States of America listed below and have also identified below any foreign applications(s) for patent or inventor's certificate or any PCT international application(s) designating at least one country other than the United States of America filed by me on the same subject matter having a filing date before that of the application(s) of which priority is claimed.

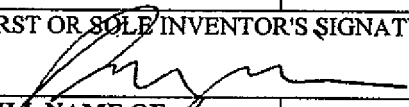

**PRIOR FOREIGN APPLICATION(S):**

COUNTRY (if PCT, indicate PCT)	APPLICATION NUMBER	DATE OF FILING (day, month, year)	PRIORITY CLAIMED
			<input type="checkbox"/> Yes <input type="checkbox"/> No
			<input type="checkbox"/> Yes <input type="checkbox"/> No
			<input type="checkbox"/> Yes <input type="checkbox"/> No
			<input type="checkbox"/> Yes <input type="checkbox"/> No

<b>Combined Declaration for Patent Application and Power of Attorney - (Continued)</b> (includes Reference to PCT International Applications) <b>ATTORNEY DOCKET NO.: 0267-001-2031</b>				
I hereby claim the benefits under Title 35, United States Code Section 119(e) of any United States provisional application(s) listed below.				
<b>U.S. PROVISIONAL APPLICATIONS</b>				
<b>U.S. PROVISIONAL APPLICATION NO.</b>		<b>U.S. FILING DATE:</b>		
60/441,956		January 23, 2003		
I hereby claim the benefit under Title 35, United States Code, Section 120 of any United States application(s) or Section 365(c) of any PCT international application(s) designating the United States of America that is/are listed below and, insofar as the subject matter of each of the claims of this application is not disclosed in that/those prior application(s) in the manner provided by the first paragraph of Title 35, United States Code, Section 112, I acknowledge the duty to disclose to the U.S. Patent and Trademark Office all information known to me to be material to the patentability of claims presented in this application in accordance with Title 37, Code of Federal Regulations, Section 1.56 which became available between the filing date of the prior application(s) and the national or PCT international filing date of this application:				
<b>PRIOR U.S. APPLICATIONS OR PCT INTERNATIONAL APPLICATIONS DESIGNATING THE U.S. FOR BENEFIT:</b>				
<b>U.S. OR PCT INTERNATIONAL APPLICATIONS</b>		<b>STATUS (Check One)</b>		
<b>APPLICATION NO.</b>	<b>FILING DATE</b>	<b>PATENTED</b>	<b>PENDING</b>	<b>ABANDONED</b>
		<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
		<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
		<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
		<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
<b>POWER OF ATTORNEY:</b> As a named inventor, I hereby appoint <small>Paul J. Sutton, Barry G. Magidoff, Claude Narcisse and Eli Weiss</small> included in the Customer Number provided below to prosecute this application and to transact all business in the Patent and Trademark Office connected therewith, and direct that all correspondence be addressed to that Customer Number.				
Customer Number: 00031108				
Direct Telephone Calls To: Paul J. Sutton at 212.801.2108				

Combined Declaration for Patent Application and Power of Attorney - (Continued)  
(includes Reference to PCT International Applications)  
ATTORNEY DOCKET NO. 0267-001-2031

I hereby declare that all statements made herein of my own knowledge are true and that all statements made on information and belief are believed to be true; and further that these statements were made with the knowledge that willful false statements and the like so made are punishable by fine or imprisonment, or both, under Section 1001 of Title 18 of the United States Code, and that such willful false statements may jeopardize the validity of the application or any patent issuing thereon.

<b>FULL NAME OF SOLE OR FIRST INVENTOR</b>	Frantz Germain	
<b>RESIDENCE</b>	Rosedale, New York	<b>COUNTRY OF CITIZENSHIP</b> U.S.A.
<b>POST OFFICE ADDRESS</b>	142-10 243rd Street Rosedale, New York 11422	
<b>FIRST OR SOLE INVENTOR'S SIGNATURE</b> 		<b>DATE</b> 7-1-05
<b>FULL NAME OF SECOND INVENTOR</b>	Stephen Stewart	
<b>RESIDENCE</b>	Berrien Springs, Michigan	<b>COUNTRY OF CITIZENSHIP:</b> U.S.A.
<b>POST OFFICE ADDRESS</b>	315 E. Washington Street Berrien Springs, Michigan 49103	
<b>SECOND INVENTOR'S SIGNATURE</b>		<b>DATE</b>
<b>FULL NAME OF THIRD INVENTOR</b>	Edward Bazayev	
<b>RESIDENCE</b>	Kew Gardens, New York	<b>COUNTRY OF CITIZENSHIP:</b> U.S.A.
<b>POST OFFICE ADDRESS</b>	119-20 Union Turnpike Kew Gardens, New York 11415	
<b>THIRD INVENTOR'S SIGNATURE</b> 		<b>DATE</b> 7-1-05